PERSONAL BALANCE SHEET

ARKANSAS SECURITIES DEPARTMENT
#1 Commerce Way
Suite 402
Little Rock, AR 72202
(501) 324-9260

www.securities.arkansas.gov/

1. PERSONAL INFORMATION

Full Legal Name:		CRD:	
Email Address:		Phone:	

2 REVENUE INFORMATION

Monthly Income:		% in Commissions:		% in Salary:	

3. PERSONAL BALANCE SHEET

Date Prepared

NOTE: All assets should be reported at market value and all liabilities should be reported at present value

ASSETS	VALUE	LIABILITIES	VALUE
Cash		**Home**	
1. Checking Account(s)		24. Mortage	
2. Savings Account(s)		25. Home Equity Line of Credit (HELOC)	
3. Other:		26. Other:	
Investments		**Loans**	
4. Stocks		27. Vehicle Loan(s)*	
5. Bonds		28. Credit Card Debt(s)	
6. Certificates of Deposit		29. Personal Loan(s)	
7. Mutual Funds		30. Student Loan(s)	
8. Other:		31. Other:	
Retirement		**Other Liabilities**	
9. 401(k) or 403(b)		32. Personal Estimated Taxes Payable	
10. Individual Retirement Accounts (IRAs)		33. Real Estate Estimated Taxes Payable	
11. Keogh (vested interest)		34. Other Estimated Taxes Payable	
12. Life Insurance (cash surrender value only)		35. Insurance Payable	
13. Other:		36. Accounts Payable	
Real Estate		37. Other:	
14. Primary Residence		38. Other:	
15. Other residence(s)		**Leins or Judgments (list each separetly)**	
16. Rental or Investment Property		39.	
17. Other:		40.	
Other Assets		41.	
18. Business Interests		42.	
19. Accounts Receivable		43 Compromise with Creditor Balance	
20. Vehicle(s)*		**44. TOTAL LIABILITIES**	$ - 0
21. Other:			
22. Other:			
23. TOTAL ASSETS	$ - 0	**45. NET WORTH (ASSETS-LIABILITIES)**	$ - 0

*Vehicles include automobiles, boats, jet skis, recreational vehicles (RVs), campers, trailers, ATVs, etc.

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PERSONAL BALANCE SHEET

4. FOOTNOTES & ADDITIONAL EXPLANATION

Use this section for footnotes to balance sheet entries, additional explanation of items on page one including contingent liabilities, note endorsements, warranties, and pending litigation. Each footnote should be referenced by its corresponding line number from page one. Attach additional sheets if necessary. Any documentation such as IRS repayment agreements which may explain or support a particular entry should also be attached. NOTE: If you have a tax lien, please attach documentation showing the status of payment on that lien. You do not need to sumit any other documentation at this time; however, additional documentation may be requested. To protect your privacy, you may redact your social security number contained in any document submitted to the Securities Division.

5. CERTIFICATION

I certify that the statements in this document are true and complete. I understand that any omitted statement, misrepresenation, or fraud may be cause for denial of my application, disciplinary action, or may be punishable by law

Signature

Date